

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

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▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning 12-1, 2019, and ending 11-30, 20 20

Name of foundation McCain Foundation		A Employer identification number 25-6049931
Number and street (or P.O. box number if mail is not delivered to street address) PO Box 1818		B Telephone number (see instructions) 814-453-4969
City or town, state or province, country, and ZIP or foreign postal code Erie, PA 16512-1817		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1186087	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
(Part I, column (d), must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	-0-			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	33337	33337	33337	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	33337	33337	33337		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	6729	6729	6729	6729
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications	75	75	75	75
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	6804	6804	6804	6804
	25 Contributions, gifts, grants paid	18000			18000
26 Total expenses and disbursements. Add lines 24 and 25	24808	6804	6804	24808	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	8529				
b Net investment income (if negative, enter -0-)		26533			
c Adjusted net income (if negative, enter -0-)			26533		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	58808	60439	60439
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1022250	1052362	1125648
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1081058	1112801	1186087	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	9000	18000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	9000	18000		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	1072058	1094801	
	29 Total net assets or fund balances (see instructions)	1072058	1094801	
30 Total liabilities and net assets/fund balances (see instructions)	1081058	1112801		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1072058
2	Enter amount from Part I, line 27a	2	8529
3	Other increases not included in line 2 (itemize) ▶ See attached Schedule	3	14214
4	Add lines 1, 2, and 3	4	1094801
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	1094801

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Schwab Account Various - Attached		P	Various	Various
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a 279453		299185	<19732>	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 <19732>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		{ If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 }		3 <24848>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	35888	1106618	.032
2017	29500	1131568	.026
2016	57620	1163470	.050
2015	47178	1128561	.042
2014	42458	1166656	.036

2 Total of line 1, column (d)	2	.186
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.037
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	1083556
5 Multiply line 4 by line 3	5	40091
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	265
7 Add lines 5 and 6	7	40356
8 Enter qualifying distributions from Part XII, line 4	8	42424

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	531
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0
3	Add lines 1 and 2	3	531
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	531
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	474
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1056
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	<1530>
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1029
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 1029 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		✓
1c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes .		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> .		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	✓	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	✓	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		✓

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.990PF.com</u>	✓	
14	The books are in care of ▶ <u>Scott McCain</u> Telephone no. ▶ <u>814-453-4969</u> Located at ▶ <u>1301 Cranberry St., Erie, PA</u> ZIP+4 ▶ <u>16501-1567</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)		✓
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:		Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b		
Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b		
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Per Attached Schedule				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 Scholarships to individuals attending College and/or University in pursuance of Bachelor's degree	
2 Grants to local service providers to disadvantaged	10000
3 Grants supporting Music and Art education	13000
4 Endowment Grant in support of the Erie Art Museum	3000
	10000

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 Scholarships to individuals attending College and/or University full time in pursuance of a Bachelor's degree	6000
2 Endowment Grant in support of the Erie Art Museum	6000
All other program-related investments. See instructions.	
3 Grants to local service providers to disadvantaged, and grants supporting Music and Art education	5616
Total. Add lines 1 through 3 ▶	17616

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1042648
b	Average of monthly cash balances	1b	57408
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1100056
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1100056
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	16500
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1083556
6	Minimum investment return. Enter 5% of line 5	6	54177

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	54177
2a	Tax on investment income for 2019 from Part VI, line 5	2a	531
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	531
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	53646
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	53646
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53646

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	24808
b	Program-related investments—total from Part IX-B	1b	17616
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	42424
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	85
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42399

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				53646
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ _____				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				36000
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				17616
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶ 3-1-54
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>Per Attached Schedule</p>				18000
<p>Total ▶ 3a</p>				18000
<p>b <i>Approved for future payment</i></p> <p>Per Attached Schedule</p>				18000
<p>Total ▶ 3b</p>				18000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	33337	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	<44580>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)				<11243>	
13 Total. Add line 12, columns (b), (d), and (e)				13	<11243>

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
4	Used directly in support of foundation grants, scholarships and endowments to benefit the community and region
8	Used directly in support of foundation grants, scholarships and endowments to benefit the community and region

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | Yes | No |
|---|-------|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) Cash | 1a(1) | ✓ |
| (2) Other assets | 1a(2) | ✓ |
| b Other transactions: | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | ✓ |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | ✓ |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | ✓ |
| (4) Reimbursement arrangements | 1b(4) | ✓ |
| (5) Loans or loan guarantees | 1b(5) | ✓ |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | ✓ |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | ✓ |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I prepared this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. My preparation (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Redacted] Date: 10-15-20 Title: Trustee

May the IRS discuss this return with the preparer shown below? See instructions. Yes No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name	Firm's EIN		Phone no.	
Firm's address				

Schedule of Contributors

2019

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
 ▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization

McCain Foundation Inc.

Employer identification number

25-6049931

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	NONE ----- ----- -----	\$-----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$-----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$-----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$-----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$-----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
-----	----- ----- ----- -----	\$-----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

McCain Foundation Inc.

25-6049931

990PF-19 Supplemental Part I Line 16C

12/1/19 thru 11/30/20

Line 16c: Account Management Fee HBK Sorce F \$6,729

Part III, Line-2 "Increases"

Book Adjustment Value Schwab		\$8,529
	Total:	\$8,529

McCain Foundation Inc.

25-6049931

990PF-19

Page 6, Part VIII, Line 1

List of Officers, Directors Compensation

(a) Name & Address	(b) Title & Avg.Hrs Per week devoted to position	(c) Compensation	(d) Contributions to employees benefit plan.	(e) Expense account other allowances
Donald P. McCain, Jr. Erie, PA	President/Director 1 Hr/Week	0	0	0
J. Scott McCain Erie, PA	Treasurer/Director 1 Hr/Week	0	0	0
Julie Schirmer Falmouth, ME	Secretary/Director 1 Hr/Week	0	0	0
Laurie Tredway Erie, PA	Director 1 Hr/Week	0	0	0
Susan Brezina Newton, NJ	Director 1 Hr/Week	0	0	0

990PF-19 Supplemental

12/1/19 thru 11/30/20

Part XV, Line 3, Part A "Paid During Year":

Name and Address	Relationship to any Foundation Mgr. or substantial contributor	Foundation status of recipient	Purpose of Grant or contribution	Amount
Pennsylvania State University (S Gollmer) 109 Shields Bldg., University Park, PA 16802	None	Public	College Scholarship Grant	\$2,000.00
Robert University (Madeline Hetrick) 6001 University Blvd., Moon, PA 15108	None	Public	College Scholarship Grant	\$2,000.00
Gannon University 109 University Square, Erie PA 16541	None	Public	College Scholarship Grant	\$2,000.00
Dr. Gertrude Barber Center Foundation In Memory of Michael McCain 136 East Ave., Erie PA 16507	None	Public	Education & Assistance to Mentally & Physically Challenged	\$2,000.00
Erie Community Foundation 459 W. 6th St., Erie PA 16507	None	Public	Endowmnt in support of the Erie Art Museum	\$10,000
Total Part XV, Line 3, Part A :				\$18,000

990PF-19 Supplemental

Part XV, Line 3, Part B "Approved for future payment":

Name and Address	Relationship to any Foundation Mgr. or substantial contributor	Foundation status of recipient	Purpose of Grant or contribution	Amount
Second Harvest Food Bank of NW PA 1507 Grimm Dr., Erie PA 165001	None	Public	Emergency Dist COVID Grant	\$6,600.00
Robert University (Madeline Hetrick) 6001 University Blvd., Moon, PA 15108	None	Public	College Scholarship Grant	\$2,000.00
Gannon University 109 University Square, Erie PA 16541	None	Public	College Scholarship Grant	\$2,000.00
Dafmark Dance Theater P.O. Box 504, Erie PA 16512	None	Public	Support of Community Art & Music Education	\$1,000
Dr. Gertrude Barber Center Foundation In Memory of Michael McCain 136 East Ave., Erie PA 16507	None	Public	Education & Assistance to Mentally & Physically Challenged	\$2,500.00
Emaus Ministries 345 E. 9th St., Erie PA 16503	None	Public	Soup Kitchen & Food Pantry for the disadvantaged	\$3,900.00
Total Part XV, Line 3, Part B :				\$18,000



Department of the Treasury
Internal Revenue Service
Ogden, UT 84201

Notice	CP211A
Tax period	November 30, 2020
Notice date	August 23, 2021
Employer ID number	25-6049931
To contact us	Phone 877-829-5500 FAX 877-792-2864

235276.364499.341671.25817 1 AB 0.428 370



MCCAIN FOUNDATION
% ERIE CONCRETE & STEEL
PO BOX 1818
ERIE PA 16512-1817

Page 1 of 1

235276

Important information about your November 30, 2020 Form 990PF.

We approved your Form 8868, Application for Extension of Time To File an Exempt Organization Return

We approved the Form 8868 for your November 30, 2020 Form 990PF. Your new due date is October 15, 2021.

What you need to do

File your November 30, 2020 Form 990PF by October 15, 2021. We encourage you to use electronic filing—the fastest and easiest way to file.

Visit www.irs.gov/charities to learn about approved e-File providers, what types of returns can be filed electronically, and whether you are required to file electronically.

Additional information

Visit www.irs.gov/cp211a.

- For tax forms, instructions, and publications, visit www.irs.gov/forms-pubs or call 800-TAX-FORM (800-829-3676).
- Keep this notice for your records.

If you need assistance, please don't hesitate to contact us.



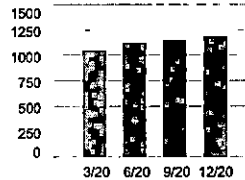
Schwab One® Account of
MCCAIN FOUNDATION

Account Number
[REDACTED]

Statement Period
December 1-31, 2020

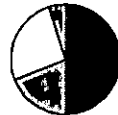
Account Value as of 12/31/2020: \$ 1,186,086.83

Change in Account Value	This Period	Year to Date	Account Value [in Thousands]
Starting Value	\$ 1,167,593.03	\$ 1,157,913.94	
Credits	15,858.48	33,336.47	
Debits	0.00	(31,859.68)	
Transfer of Securities (In/Out)	0.00	0.00	
Income Reinvested	0.00	0.00	
Change in Value of Investments	2,635.32	26,696.10	
Ending Value on 12/31/2020	\$ 1,186,086.83	\$ 1,186,086.83	
Total Change in Account Value	\$ 18,493.80	\$ 28,172.89	



Asset Composition	Market Value	% of Account Assets
Cash and Bank Sweep ^{X,Z}	\$ 60,439.82	5%
Bond Funds	589,344.99	50%
Equity Funds	228,594.46	19%
Exchange Traded Funds	307,707.56	26%
Total Assets Long	\$ 1,186,086.83	
Total Account Value	\$ 1,186,086.83	100%

Overview



- 50% Bond Funds
- ▣ 19% Equity Funds
- ▤ 26% Exchange Traded Funds
- ▥ 5% Cash, Bank Sweep [X,Z]



Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor.



Account Summary

TAX YEAR 2020

Page 1

Date Prepared: February 24, 2021

For additional information, please contact:

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Recipient's Name and Address

0013903 0048321

MCCAIN FOUNDATION
1301 CRANSBERRY ST
ERIE PA 16501

Federal ID Number: 94-1737782

Account Number: ~~411-7838-8050~~
Taxpayer ID Number: 25-6049931

The Account Summary is a comprehensive report covering the following information for 2020 :

- Dividends and Distributions
- Interest Information
- Investment Activity

The information in this report is **not** being reported to the IRS by Charles Schwab. Please refer to the back of this document for additional information.

If you should have any questions regarding the Account Summary please contact your Investment Advisor.

TAX YEAR 2020

Date Prepared: February 24, 2021

Account Number: ██████████
Taxpayer ID Number: 25-6049931

DETAIL INFORMATION

Dividends and Distributions

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Ordinary Dividends				
Non-Qualified Dividends				
09260C307	BLACKROCK SYSTEMATIC MUL	\$ 0.00	\$ 758.26	\$ 758.26
258620301	DOUBLELINE CORE FIXED	6,448.99	(0.60)	6,448.39
316389444	FIDELITY ADV REAL ESTATE	43.50	(2.19)	41.31
33739Q200	FRST TRT LOW DRTN	2,307.23	(373.05)	1,934.18
46138G102	INVESCO S&P SMALLCAP LOW	526.10	(295.06)	231.04
464287499	ISHARES RUSSELL MID CAP	226.69	(206.77)	19.92
464287622	ISHARES RUSSELL 1000	165.24	(165.24)	0.00
46429B689	ISHARE EDGE MSCI MIN VOL	400.69	(400.69)	0.00
46435G433	ISHARES MSCI USA	139.06	(88.94)	50.12
4812A4351	JPMORGAN STRATEGIC INCOM	921.88	0.00	921.88
592905509	METROPOLITAN WEST TOTAL	4,150.88	0.00	4,150.88
693390882	PIMCO INTERNATIONAL BOND	1,269.57	0.00	1,269.57
808524102	SCHWAB US BROAD MARKET	632.52	(577.70)	54.82
808524201	SCHWAB US LARGE CAP ETF	1,142.08	(1,121.09)	20.99
808524508	CHARLES SCHWAB US MC	117.18	(76.90)	40.28
Total Non-Qualified Dividends		\$ 18,491.61	\$ (2,549.97)	\$ 15,941.64

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Short Term Capital Gains				
09260C307	BLACKROCK SYSTEMATIC	\$ 0.00	\$ 2.09	\$ 2.09
592905509	METROPOLITAN WEST TOTAL	8,798.22	0.00	8,798.22
Total Short Term Capital Gains		\$ 8,798.22	\$ 2.09	\$ 8,800.31

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Qualified Dividends				
09260C307	BLACKROCK SYSTEMATIC MUL	\$ 826.11	\$ (784.35)	\$ 41.76
258620301	DOUBLELINE CORE FIXED	0.00	0.60	0.60
315910679	FIDELITY ADVISOR INTL SM	155.84	33.29	189.13
316389444	FIDELITY ADV REAL ESTATE	0.00	2.19	2.19
367829884	GATEWAY FUND CL Y	87.49	0.00	87.49
412295107	HARDING LOEVNER INTERNAT	422.38	79.67	502.05
46138G102	INVESCO S&P SMALLCAP LOW	0.00	295.06	295.06
464287499	ISHARES RUSSELL MID CAP	0.00	206.77	206.77
464287622	ISHARES RUSSELL 1000	0.00	165.24	165.24

TAX YEAR 2020

Account Number: ██████████
Taxpayer ID Number: 25-6049931

DETAIL INFORMATION (continued)

Dividends and Distributions (continued)

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Qualified Dividends (continued)				
46429B689	ISHARE EDGE MSCI MIN VOL	0.00	456.73	456.73
46435G433	ISHARES MSCI USA	0.00	88.94	88.94
552983694	MFS VALUE I	557.94	0.00	557.94
779546308	T ROWE PRICE DIV GWTH FD	508.57	0.00	508.57
808524102	SCHWAB US BROAD MARKET	0.00	577.70	577.70
808524201	SCHWAB US LARGE CAP ETF	0.00	1,121.09	1,121.09
808524508	CHARLES SCHWAB US MC	0.00	84.59	84.59
Total Qualified Dividends		\$ 2,558.33	\$ 2,327.52	\$ 4,885.85
Total Ordinary Dividends (Non-Qualified Dividends, Short Term Capital Gains and Qualified Dividends)		\$ 29,848.16	\$ (220.36)	\$ 29,627.80

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Capital Gain Distributions				
15% Rate Gain				
258620301	DOUBLELINE CORE FIXED IN	\$ 338.34	\$ 0.00	\$ 338.34
552983694	MFS VALUE I	288.15	0.00	288.15
592905509	METROPOLITAN WEST TOTAL	2,850.58	0.00	2,850.58
Total 15% Rate Gain		\$ 3,477.07	\$ 0.00	\$ 3,477.07
Total Capital Gain Distributions		\$ 3,477.07	\$ 0.00	\$ 3,477.07

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
NonDividends Distributions (Return of Capital)				
09260C307	BLACKROCK SYSTEMATIC MUL	\$ 0.00	\$ 24.00	\$ 24.00
33739Q200	FRST TRT LOW DRTN	0.00	373.05	373.05
Total NonDividends Distributions		\$ 0.00	\$ 397.05	\$ 397.05

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Foreign Tax Paid				
315910679	FIDELITY ADVISOR INTL SM	\$ 0.00	\$ (33.29)	\$ (33.29)
412295107	HARDING LOEVNER INTERNAT	0.00	(79.67)	(79.67)

TAX YEAR 2020

Date Prepared: February 24, 2021

Account Number: ██████████

Taxpayer ID Number: 25-6049931

DETAIL INFORMATION (continued)

Dividends and Distributions (continued)

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Foreign Tax Paid (continued)				
46429B689	ISHARE EDGE MSCI MIN VOL	0.00	(56.04)	(56.04)
Total Foreign Tax Paid		\$ 0.00	\$ (169.00)	\$ (169.00)

Interest Income

<i>Cusip Number</i>	<i>Description</i>	<i>Paid in 2020</i>	<i>Paid/Adjusted in 2021 for 2020</i>	<i>Amount</i>
Interest Earned (Includes Interest on Corporate Bonds, U.S. Savings Bonds & Treasury Obligations)				
	DEPOSIT INTEREST	\$ 11.24	\$ 0.00	\$ 11.24
Total Interest Earned		\$ 11.24	\$ 0.00	\$ 11.24

INVESTMENT ACTIVITY

Investment Activity for 2020 - Stocks

<i>Activity</i>	<i>Quantity</i>	<i>Security Description</i>	<i>Trade Date</i>	<i>Settle Date</i>	<i>Price</i>	<i>Net Amount</i>
BUY	797.0000	FRST TRT LOW DRTN	02/26/20	02/28/20	52.2900	\$ (41,675.13)
SALE	378.0000	FRST TRT LOW DTN OPNTS	04/22/20	04/24/20	51.3800	19,421.21
BUY	489.0000	INVESCO S&P SMALLCAP LOW	02/26/20	02/28/20	48.5699	(23,750.68)
BUY	356.0000	INVESCO S&P SMALLCAP LOW	04/22/20	04/24/20	32.0999	(11,427.56)
SALE	845.0000	INVESCO S&P SMALLCAP LOW	09/24/20	09/28/20	32.7101	27,639.42
SALE	20.0000	ISHARE EDGE MSCI MIN VOL	04/22/20	04/24/20	63.5900	1,271.77
BUY	829.0000	ISHARES MSCI USA	09/24/20	09/28/20	28.8100	(23,883.49)
SALE	76.0000	ISHARES RUSSELL MID CAP	04/22/20	04/24/20	46.5449	3,537.33
SALE	108.0000	ISHARES RUSSELL MID CAP	09/24/20	09/28/20	55.9401	6,041.40
BUY	426.0000	SCHWAB US BROAD MARKET	03/16/20	03/17/20	60.9097	(25,947.53)
BUY	338.0000	SCHWAB US LARGE CAP ETF	04/22/20	04/24/20	66.3800	(22,436.44)
Total Purchases/Adjustments - Stocks						\$ (149,120.83)
Total Proceeds/Adjustments - Stocks						\$ 57,911.13

TAX YEAR 2020

Date Prepared: February 24, 2021

Account Number: ██████████
Taxpayer ID Number: 25-6049931

INVESTMENT ACTIVITY (continued)

Investment Activity for 2020 - Mutual Funds

Activity	Quantity	Security Description	Trade Date	Settle Date	Price	Net Amount
BUY	1,692.1770	BLACKROCK SYSTEMATIC	02/26/20	02/27/20	9.9700	\$ (16,886.00)
SALE	387.4250	BLACKROCK SYSTEMATIC	04/22/20	04/23/20	9.9700	3,847.62
BUY	2,170.3810	BLACKROCK SYSTEMATIC MUL	09/24/20	09/25/20	10.2300	(22,218.00)
BUY	1,663.9220	DOUBLELINE CORE FIXED	04/22/20	04/23/20	10.8100	(18,002.00)
SALE	4,833.1950	FIDELITY ADV REAL ESTATE	04/22/20	04/23/20	9.7400	47,060.32
SALE	807.9120	GATEWAY FUND CL Y	03/13/20	03/16/20	31.9600	25,805.86
SALE	807.8800	GATEWAY FUND CL Y	04/22/20	04/23/20	31.9600	25,804.84
SALE	125.6050	HARDING LOEVNER INTL EQT	04/22/20	04/23/20	19.7900	2,470.73
BUY	794.6190	JPMORGAN STRATEGIC INCM	04/22/20	04/23/20	11.1500	(8,875.00)
SALE	6,437.0110	LOOMIS SAYLES STRATEGIC	02/26/20	02/27/20	9.7500	62,745.86
BUY	2,194.8850	METROPOLITAN WEST TOTAL	04/22/20	04/23/20	11.3400	(24,905.00)
BUY	364.5480	MFS VALUE FUND CL I	04/22/20	04/23/20	36.1900	(13,208.00)
SALE	320.7160	MFS VALUE I	09/24/20	09/25/20	39.4600	12,640.44
SALE	1,023.9810	NUVEEN SMALL CAP VALUE	02/26/20	02/27/20	21.0700	21,560.28
BUY	514.7350	T ROWE PRICE DIV GWTH FD	04/22/20	04/23/20	46.0800	(23,734.00)
BUY	208.9530	TORTOISE MLP & PIPELINE	02/26/20	02/27/20	11.1700	(2,349.00)
SALE	2,702.6220	TORTOISE MLP & PIPELINE	04/22/20	04/23/20	7.2600	19,606.04
Total Purchases/Adjustments - Mutual Funds						\$ (130,177.00)
Total Proceeds/Adjustments - Mutual Funds						\$ 221,541.99

Investment Activity for 2020 - Totals

Total Purchases/Adjustments (Not Reported to IRS):	\$ (279,297.83)
Total Proceeds/Adjustments (Not Reported to IRS):	\$ 279,453.12

Account Summary

Contact Us

If you have any questions or need more information about your account summary report, please call us at 1-800-435-4000. If your account is managed by an investment advisor, please call Schwab Alliance at 1-800-515-2157.

It is our policy not to recommend investment or reporting methods for specific tax situations. For advice on your particular tax situation, please consult your tax advisor.

Account Summary

Dividend and Distribution

Information - Reports the qualified and nonqualified dividend income, capital gains distributions, nontaxable distributions, liquidation distributions received during the tax year, and taxes withheld. Any mutual fund or Real Estate Investment Trust distributions that have record dates in the tax year are reported, along with any taxes withheld, even if the dividend or distribution is not paid out until the following January.

Interest Information - Reports all interest earned from interest-bearing securities, including accrued interest from the sales or exchange of securities, interest forfeitures, accrued interest paid and margin interest charges, and taxes withheld.

The Investment Activity Section of Your Summary - Reports all purchases, dividend reinvestments, sales and exchanges of capital assets.

Key Terms

(An alphabetical listing of terms found in the Account Summary.)

Accrued Interest Paid - The accrued interest you paid when you purchased bonds during the tax year.

Activity - The security purchases and sales, or other transaction types that affect the cost basis of your security.

Capital Gains - Represent all capital gains distributions paid to you by a Regulated Investment Company and/or a Real Estate Investment Trust.

Cash Liquidations - Cash, stock or cash and stock, distributed to you in periodic payment by a company in the process of liquidating its assets.

CUSIP Number - (Committee on Uniform Securities Identification Procedures); a unique identification number assigned to stock and bond certificates.

Description - The name of a security.

Dividends - The amount of dividends paid to your accounts.

Foreign Tax Paid - The amount of foreign tax paid on distributions from foreign securities.

Interest Earned - The amount of interest you earned during the tax year.

Margin Interest Charged - The total amount of margin interest you were charged in the tax year.

Net Amount - Trade commissions are included in the purchase net amount. Trade commissions, regulatory fees and advisor fees are deducted from the gross proceed amount.

Nondividend Distributions - Represent a return of capital that reduces your security cost basis and may result in a capital gain.

Price - The price at which the trade or transaction was made.

Principal Payments - Represents payments received by you on bonds and Unit Investment Trusts (UITs).

Quantity - The number of shares in a transaction.

Settle Date - The date your transaction is considered complete. Use this date to refer to a trade on your monthly statement.

Short-Term Capital Gains - Distributions paid to you on assets held by a Regulated Investment Company for one year or less.

Tax-Exempt Dividends and Interest - The federally tax-exempt dividend and interest income. Detailed information on tax-exempt dividends declared by mutual and money market funds and tax-exempt interest income from tax-exempt municipal bonds is provided here.

Trade Date - The date your transaction is executed.



Schwab One® Account of
MCCAIN FOUNDATION

Account Number
[REDACTED]

Report Period
January 1 - December 31,
2020

2020 Year-End Schwab Gain/Loss Report

Prepared on January 16, 2021

Message Center

Your gain/loss report includes a summarized list of your realized gains/losses for 2020. You can also log in to www.schwab.com/sa_reports to view your documents securely online.

Your Independent Investment Manager and/or Advisor

HBKS WEALTH ADVISORS
235 W 6TH ST
ERIE PA 16507
1 (814) 459-1116

The custodian of your brokerage account is: Charles Schwab & Co., Inc.
For questions about this report, please contact your Independent Investment Manager and/or Advisor.

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Need help reading this report?

See the UNDERSTANDING YOUR YEAR-END SCHWAB GAIN/LOSS REPORT section.

MCCAIN FOUNDATION
1301 CRANSBERRY ST
ERIE PA 16501



Your Independent Investment Advisor is not affiliated with or an agent of Schwab and Schwab does not supervise or endorse your Advisor

Terms and Conditions

This report is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Schwab Advisor Services serves independent investment advisors and includes the custody, trading and support services of Schwab. Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. Schwab is a registered broker-dealer and, other than an affiliated company that may act as an investment advisor for the Sweep Funds, Windhaven Investment Management, Inc. ("Windhaven®"), or ThomasPartners Investment Management Inc. ("Thomas Partners"), Charles Schwab Investment Advisory Inc. ("CSIA") is not affiliated with your independent Investment Advisor whose name appears on this report ("Advisors"). Advisors are independently owned and operated. Schwab neither endorses nor recommends any particular Advisor or its investment strategy and has no responsibility to monitor trading by any Advisor in your Account. Schwab has not verified any statement accompanying any Advisor's logo appearing on this report. Advisors provide investment advisory services for your Account. Schwab provides brokerage and custody services for your Account. Schwab has agreements with Advisors under which Schwab provides Advisors with institutional trading, custody and related services, and products. Not all of these products and services may benefit your Account, and Schwab may provide them to Advisors on the Advisor's commitment to place a certain amount of its clients' assets in brokerage accounts at Schwab within a certain period of time. This commitment could influence an Advisor's recommendation or requirement that its clients establish brokerage accounts at Schwab.

GENERAL INFORMATION

This report contains a gain or a loss summary of your account. This report has been provided at the request of your Advisor. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment planning. The Gain/Loss sections(s) will not be provided to the IRS or any other tax authorities. The information provided may or may not have relevance in other jurisdictions. We recommend that all customers (non-U.S. and U.S.) consult their investment advisors prior to using this information.

Schwab has provided cost basis data wherever possible for most investments. This data may have been provided to

Schwab by your Advisor, vendors of market prices and other data, or other third parties. Your Advisor may also instruct Schwab to change the information we would otherwise report. Although efforts have been made to ensure the quality of the information provided on this report, data may be inaccurate or incomplete and is subject to change. Schwab accepts no responsibility for its accuracy, completeness or timely updating.

Currency: All figures are in U.S. dollars.

Accounting Methods: The default accounting methods used in this report are compliant with IRS accounting methods for individual investors.

Holding Period Computation: In computing the holding period, the day of acquisition is disregarded but the day of sale is included. For example, in order to obtain long-term capital gains treatment, property purchased on January 1, 2003, could not be sold until January 2, 2004. The trade date (not the settlement date) determines the date of purchase or sale. If no date is available, a blank will be displayed.

Special Accounting Rules: Certain situations including gifts, inheritance, tax-free exchanges, option exercises, short sales, wash sales, straddles, constructive sales, etc., can affect the computation of cost basis and/or holding period. These situations may not be properly factored into the figures shown in this report. Please consult your advisor for more information.

IN CASE OF QUESTIONS: If you have questions about this report or about specific Schwab Account or Schwab One® transactions (other than wire transfers or check transactions), contact Schwab at 800-515-2157. If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105, or call Schwab Alliance at (800) 515-2157.

Additional Information: Any third-party trademarks appearing herein are the property of their respective owners. Schwab, and Charles Schwab Bank are affiliates of each other and are subsidiaries of The Charles Schwab Corporation.



Schwab One® Account of
MCCAIN FOUNDATION

Account Number
[REDACTED]

Report Period
January 1 - December 31,
2020

2020 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method: Tax Lot Optimizer™

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
BLACKROCK SYSTEMATIC MULTI STRATEGY INST: BIMBX	387.4250	07/24/19	04/22/20	\$3,847.62	\$3,879.65	(\$32.03)
Security Subtotal				\$3,847.62	\$3,879.65	(\$32.03)
FIDELITY ADV REAL ESTATE INCOME FD CL I: FRIRX	407.5750	07/24/19	04/22/20	\$3,968.52	\$5,073.00	(\$1,104.48)
Security Subtotal				\$3,968.52	\$5,073.00	(\$1,104.48)
FRST TRT LOW DTN OPNTS ETF IV: LMBS	378.0000	02/26/20	04/22/20	\$19,421.21	\$19,765.62	(\$344.41)
Security Subtotal				\$19,421.21	\$19,765.62	(\$344.41)
GATEWAY FUND CL Y: GTEYX	134.0820	03/27/19	03/13/20	\$4,282.77	\$4,433.00	(\$150.23)
Security Subtotal				\$4,282.77	\$4,433.00	(\$150.23)
INVESCO S&P SMALLCAP LOWVOLATILITY ETF: XSLV	489.0000	02/26/20	09/24/20	\$15,994.88	\$23,750.68	(\$7,755.80)
INVESCO S&P SMALLCAP LOWVOLATILITY ETF: XSLV	356.0000	04/22/20	09/24/20	\$11,644.54	\$11,427.56	\$216.98
Security Subtotal				\$27,639.42	\$35,178.24	(\$7,538.82)
LOOMIS SAYLES STRATEGIC ALPHA Y: LASYX	157.5260	03/27/19	02/26/20	\$1,535.51	\$1,543.00	(\$7.49)
Security Subtotal				\$1,535.51	\$1,543.00	(\$7.49)



Schwab One® Account of
MCCAIN FOUNDATION

Account Number
[REDACTED]

Report Period
January 1 - December 31,
2020

2020 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NUVEEN SMALL CAP VALUE CL I: FSCCX	126.3110	03/27/19	02/26/20	\$2,659.52	\$2,881.00	(\$221.48)
Security Subtotal				\$2,659.52	\$2,881.00	(\$221.48)
TORTOISE MLP & PIPELINE INST: TORIX	2,702.6220	multiple	04/22/20	\$19,606.04	\$35,056.00	(\$15,449.96)
Security Subtotal				\$19,606.04	\$35,056.00	(\$15,449.96)
Total Short-Term				\$82,960.61	\$107,809.51	(\$24,848.90)
Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
FIDELITY ADV REAL ESTATE INCOME FD CL I: FRIRX	4,425.6200	01/26/16	04/22/20	\$43,091.80	\$48,434.88	(\$5,343.08)
Security Subtotal				\$43,091.80	\$48,434.88	(\$5,343.08)
GATEWAY FUND CL Y: GTEYX	673.8300	multiple	03/13/20	\$21,523.09	\$21,032.81	\$490.28
GATEWAY FUND CL Y: GTEYX	807.8800	08/30/11	04/22/20	\$25,804.84	\$20,852.89	\$4,951.95
Security Subtotal				\$47,327.93	\$41,885.70	\$5,442.23



Schwab One® Account of
MCCAIN FOUNDATION

Account Number
██████████

Report Period
January 1 - December 31,
2020

2020 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
HARDING LOEVNER INTL EQTY PORT INST: HLMIX	125.6050	10/09/18	04/22/20	\$2,470.73	\$2,785.95	(\$315.22)
Security Subtotal				\$2,470.73	\$2,785.95	(\$315.22)
ISHARE EDGE MSCI MIN VOLEAFE ETF: EFAV	20.0000	03/27/19	04/22/20	\$1,271.77	\$1,437.00	(\$165.23)
Security Subtotal				\$1,271.77	\$1,437.00	(\$165.23)
ISHARES RUSSELL MID CAP ETF: IWR	76.0000	06/03/09	04/22/20	\$3,537.33	\$1,258.89	\$2,278.44
ISHARES RUSSELL MID CAP ETF: IWR	108.0000	06/03/09	09/24/20	\$6,041.40	\$1,788.95	\$4,252.45
Security Subtotal				\$9,578.73	\$3,047.84	\$6,530.89
LOOMIS SAYLES STRATEGIC ALPHA Y: LASYX	6,279.4850	01/28/19	02/26/20	\$61,210.35	\$60,926.00	\$284.35
Security Subtotal				\$61,210.35	\$60,926.00	\$284.35
MFS VALUE I: MEIIX	320.7160	04/16/18	09/24/20	\$12,640.44	\$12,815.28	(\$174.84)
Security Subtotal				\$12,640.44	\$12,815.28	(\$174.84)



Schwab One® Account of
MCCAIN FOUNDATION

Account Number
[REDACTED]

Report Period
January 1 - December 31,
2020

2020 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method: Tax Lot Optimizer™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NUVEEN SMALL CAP VALUE CL I: FSCCX	897.6700	08/09/16	02/26/20	\$18,900.76	\$20,043.51	(\$1,142.75)
Security Subtotal				\$18,900.76	\$20,043.51	(\$1,142.75)
Total Long-Term				\$196,492.51	\$191,376.16	\$5,116.35
Total Realized Gain or (Loss)				\$279,453.12	\$299,185.67	(\$19,732.55)

Schwab has provided realized gain/loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

Understanding Your Year-End Schwab Gain/Loss Report

This page provides an explanation of the terms used in the Year-End Schwab Gain/Loss Report in the order in which they appear.

Accounting Method: The accounting method noted on the report is the one in effect on the last day of the rep. calendar year. If you change your accounting method in the middle of a report period, you actually may have a mixed accounting method; however, the accounting method in effect at year-end will be the only method displayed. FIFO accounting (see definition below) is the default method for the purpose of this report.

Single Category Average Cost: The average cost of all shares held in a mutual fund regardless of how long they are owned. This includes shares acquired with reinvested dividends. This method is available for mutual funds and is the method used for open-end funds in this report.

First In First Out (FIFO): The first investments acquired are the first investments sold. This is the "default" method.

Last In First Out (LIFO): The last investments acquired are the first investments sold.

High Cost: Sell lots in order of highest unit cost to lowest unit cost. This will minimize gains and maximize losses.

Low Cost: Sell lots in order of lowest unit cost to highest unit cost. This will maximize gains and minimize losses.

Tax Lot Optimizer: A tax lot consists of one or more shares of a security purchased at the same price on the same day. Lots sold are selected in the following order.

1. **Short-Term Losses:** Lots that reflect a short-term loss are sold, beginning with lots that generate the greatest short-term loss down to the least short-term loss.
2. **Long-Term Losses:** Lots that reflect a long-term loss are sold, beginning with lots that generate the greatest long-term loss down to the least long-term loss.
3. **Short-Term No Gains or Losses:** Short-term lots are sold that reflect no gain or loss.
4. **Long-Term No Gains or Losses:** Long-term lots are sold that reflect no gain or loss.
5. **Long-Term Gains:** Lots that reflect a long-term gain are sold, beginning with lots that generate the least long-term gain up to the greatest long-term gain.
6. **Short-Term Gains:** Lots that reflect a short-term gain are sold, beginning with lots that generate the least short-term gain up to the greatest short-term gain.

Specific Lot: The IRS allows taxpayers to specifically identify lots sold. Such identification can be made at the time of trade up until settlement date. An "m" on this report indicates that the account holder

has used Specific Lot and matched a sale against a particular lot held at the time of trade.

Closing Transaction: The fulfillment of a contract causing an existing investment to end. A sale could be closing transaction for a long position, and a purchase could be a closing position for a short position.

Short-Term/Long-Term: Gain or (loss) on the sale of a capital asset is labeled long-term if the property has been held for more than one year; it is labeled **short-term** if the property has been held for one year or less. Investors need to provide the appropriate purchase date on some investments for the system generating this report to properly determine the holding period. For instance, absent notification to the contrary, the report assumes the purchase date is the date of transfer for investments transferred from another brokerage account. All transactions are displayed at the lot level. A lot is a single unit of shares of an investment that was acquired or opened on a specific trade date and at a specific trade time.

Quantity/Par: The number of shares for each lot within each investment position in the account. This is the number of shares for stocks and mutual funds; it is the number of contracts for options; and it is the face value bonds or notes. Fractional shares are rounded for display purposes on this report.

Acquired/Opened: The trade date, effective date or the date provided by the account holder for a particular lot. This date generally establishes the holding period of the lot. For short positions, the opening date is the date the short position is established. If no date is available, the field will be left blank.

Sold/Closed: The trade date, effective date or the date provided by the account holder. For long positions, the closing date is the date on which the long position is disposed. For short positions, the closing date is the date on which the short position is covered.

Total Proceeds: The amount received upon disposition of the holding less commissions and applicable fees.

Cost Basis: The amount paid for the lot including applicable commissions, fees and adjustments for corporate actions and return of capital payments. For Short Sales, while the position remains open, the proceeds appear in the Cost Basis column with a negative value. In the Realized Gain or (Loss) section, the Cost Basis is the amount paid to close the transaction and appears in the Cost Basis column. The proceeds will appear in the Total Proceeds column. For cash in your account, Cost Basis includes total cash less margin loan(s) outstanding at the time this report is printed. Because it may include transactions which have not yet settled, please refer to your account statement for details.

Adjusted Cost Basis: The amortized cost basis (for bonds bought at a premium) or the accreted cost basis (for bonds bought at a discount).

Realized Gain or (Loss): A realized gain or (loss) is shown when a closing transaction occurs in your account that requires recognition of a gain or loss. To determine the Realized Gain or (Loss) for a lot, the Cost Basis is subtracted from the Total Proceeds.

Adjusted Realized Gain or (Loss): The realized gain or (loss) that is calculated based on the Adjusted Cost Basis.

Endnotes: Lettered footnotes are placed next to items that required additional explanation. Footnotes are explained on the last page of the report.

e - Data for this holding has been edited or provided by the account holder

i - Value includes incomplete cost basis. If cost basis is not available for an investment, you may be able to provide updates.

m - A sale was matched against a particular lot held at the time of trade.

S - Short sale.

Disclaimer at bottom of each page of report
Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options.

McCain Foundation Inc.
P.O. Box 1818
Erie, PA 16512

814-453-4969

October 15, 2021

Office of Attorney General
Charitable Trusts & Organizations Section
14th Floor, Strawberry Square
Harrisburg, PA 17120

Per IRS Code Sec.6056, is enclosed a copy of our 990-PF-19.

McCain Foundation Inc.

A large black rectangular redaction covers the signature area, obscuring the name and any handwritten notes.

J.S. McCain
Treasurer

enclosure